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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

HANS LAUTENSCHLAGER ET AL.

Group Art Unit: Unknown

Examiner: Unknown

Serial No.: 10/506,659

Filed: September 3, 2004

For: SILOXANE POLYMERS CONTAINING SI-BOUND HYDROGEN  
ATOMS AS ANTIMISTING ADDITIVES FOR SILICONE COATING  
COMPOSITIONS

Attorney Docket No.: WAS 0654 PUSA

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner.

Accompanying the Information Disclosure Statement is the statement of Dr. Maria Deffner-Lehner describing the content of the references cited in the Information Disclosure Statement.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

January 25, 2005  
Date of Deposit

William G. Conger  
Name of Person Signing

*[Signature]*  
Signature

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the reference(s) are "material" as defined under 37 C.F.R. § 1.56(b).

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

**HANS LAUTENSCHLAGER ET AL.**

By: 

William G. Conger

Reg. No. 31,209

Attorney/Agent for Applicant

Date: January 25, 2005

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Fax: 248-358-3351



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of  
HANS LAUTENSCHLAGER

:  
:

Serial Number:

Group Art Unit:

Filed:

For: SILOXANE POLYMERS CONTAINING SI-BOUND HYDROGEN ATOMS AS  
ANTIMISTING ADDITIVES FOR SILICONE COATING COMPOSITES

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir or Madam:

I, Maria Deffner-Lehner, associated with the preparation and prosecution of the above-identified application, residing at Meisenweg 12, 85591 Vaterstetten, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application, in the Office Action of the German Patent and Trademark Office and in the enclosed International Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

WO 01/98420 A2, cited in the German Office Action as well as in the International Search Report, is already in English language and is enclosed.

US 5,241,034 A, cited in the German Office Action, is enclosed.

WO 01/98418 A, cited in the International Search Report as well as in the application, is already in English language and is enclosed.

For DE 19629053 A, cited in the International Search Report as well as in the application, an English Derwent Abstract is enclosed.

For DE 19522144 A, cited in the International Search Report as well as in the application, an English Derwent Abstract is enclosed.

For EP 1008615 B, cited in the International Search Report, the corresponding US 6,265,497 B1 is enclosed.

For EP 1277786 A, cited in the International Search Report, the corresponding US 2003055194 A1 is enclosed.

EP 0 716 115 A2, cited in the application, is already in English language and is enclosed.

US 6,265,497 B1, cited in the application, is enclosed.

US 6,034,225 A, cited in the application, is enclosed.

US 6,258,913 B1, cited in the application, is enclosed.

US 6,274,962 B1, cited in the application, is enclosed.

US 5,241,034 A, cited in the application, is enclosed.

US 5,760,145 A, cited in the application, is enclosed.

US 6,265,497 B1, cited in the application, is enclosed.

US 5,961,435 A, cited in the application, is enclosed.

Signed this *21<sup>st</sup>* day of September, 2004.



Dr. Maria Deffner-Lehner

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/506,659
				Filing Date	September 3, 2004
				First Named Inventor	Hans Lautenschlager et al.
				Group Art Unit	Unknown
				Examiner Name	Unknown
				Attorney Docket Number	WAS 0654 PUSA

O I P E  
 JAN 27 2005  
 PATENT

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

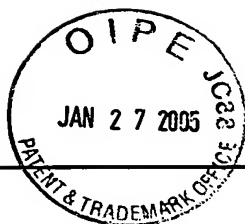
[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



<b>Substitute for Form 1449B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/506,659		
		Filing Date	September 3, 2004		
		First Named Inventor	Hans Lautenschlager et al.		
		Group Art Unit	Unknown		
		Examiner Name	Unknown		
Sheet	2	of	2	Attorney Docket Number	WAS_0654_PUSA

<b>OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		English Derwent Abstract AN 1998-087924[19] corresp. to DE 196 29 053	
		English Derwent Abstract AN 1997-053209[06] corresp. to DE 195 22 144.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.